		(Original Signature of Member)
117TH CONGRESS 1ST SESSION	H.R.	

To provide increased transparency and accountability regarding potential foreign influence in research and development programs, and for other purposes.

### IN THE HOUSE OF REPRESENTATIVES

Mr.	Norman	introduced	the	following	bill;	which	was	refer	red	to	the
	Cor	mmittee on									

# A BILL

To provide increased transparency and accountability regarding potential foreign influence in research and development programs, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Safeguarding United States Research Act".
- 6 (b) Table of Contents.—The table of contents for
- 7 this Act is as follows:

Sec. 1. Short title; table of contents.

1

2

3

### TITLE I—IMMIGRATION PROVISIONS

- Sec. 101. Reporting exchange visitor change in field of study.
- Sec. 102. Reporting certain research program participation.
- Sec. 103. Review and revocation of certain nonimmigrant visas.
- Sec. 104. Annual report.

#### TITLE II—FEDERAL RESEARCH AND DEVELOPMENT AWARDS

Sec. 101. Findings.

Sec. 102. Disclosure of funding sources in applications for Federal research and development awards.

# TITLE I—IMMIGRATION PROVISIONS

- SEC. 101. REPORTING EXCHANGE VISITOR CHANGE IN
- 4 FIELD OF STUDY.
- 5 With respect to a principal nonimmigrant exchange
- 6 visitor admitted into the United States in the J-1 classi-
- 7 fication under section 101(a)(15)(J) of the Immigration
- 8 and Nationality Act (8 U.S.C. 1101(a)(15)(J)) in order
- 9 to study, the Secretary of State shall take such action as
- 10 may be necessary to ensure that the applicable program
- 11 sponsor is required to use the Student and Exchange Vis-
- 12 itor Information System to report any change to the non-
- 13 immigrant's primary field of study. In carrying out this
- 14 section, the Secretary of State shall take into account the
- 15 record keeping and reporting requirements of the Sec-
- 16 retary of Homeland Security with regard to non-
- 17 immigrants admitted into the United States in the F-1
- 18 and M-1 classifications under subparagraphs (F) and (M)
- 19 of section 101(a)(15) of such Act (8 U.S.C. 1101(a)(15)).

### SEC. 102. REPORTING CERTAIN RESEARCH PROGRAM PAR-2 TICIPATION. 3 (a) IN GENERAL.—With respect to a principal nonimmigrant admitted into the United States in the J-1 4 5 classification under section 101(a)(15)(J) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(J)), in the 6 7 F-1 classification under section 101(a)(15)(F) of such M-1classification 8 Act. orin the under section 9 101(a)(15)(M) of such Act, the Secretary of State and the Secretary of Homeland Security shall take such action as 10 11 may be necessary to ensure that the applicable program sponsor or academic or nonacademic institution is re-12 13 quired to use the Student and Exchange Visitor Information System to report when the nonimmigrant is participating in a research program funded in whole or in part 15 through a grant, contract, or other similar form of support provided by the Federal Government, as well as program 17 identification information. 18 19 (b) Notifications.— 20 Secretary.—In the case of21 immigrant described in subsection (a), the Secretary 22 of Homeland Security shall notify the appropriate 23 program manager at an Executive agency (as de-24 fined in section 105 of title 5, United States Code)

if and when the Secretary obtains information that

the nonimmigrant is participating in a research pro-

25

26

1	gram funded in whole or in part through a grant,
2	contract, or other similar form of support provided
3	by such agency prior to the commencement of that
4	nonimmigrant's participation and not later than 21
5	days after authorizing such participation.
6	(2) Sponsor or institution.—In the case of
7	a nonimmigrant described in subsection (a), the ap-
8	plicable program sponsor or academic or nonaca-
9	demic institution shall notify the appropriate pro-
10	gram manager at an Executive agency (as defined in
11	section 105 of title 5, United States Code) if and
12	when the sponsor or institution obtains information
13	that the nonimmigrant is participating in a research
14	program funded in whole or in part through a grant,
15	contract, or other similar form of support provided
16	by such agency prior to the commencement of that
17	nonimmigrant's participation and not later than 21
18	days after authorizing such participation.
19	SEC. 103. REVIEW AND REVOCATION OF CERTAIN NON-
20	IMMIGRANT VISAS.
21	(a) In General.—The Secretary of Homeland Secu-
22	rity shall have the authority to review and revoke a non-
23	immigrant visa granted under subparagraph (F), (J), or
24	(M) of section 101(a)(15) of the Immigration and Nation-

1 ality Act (8 U.S.C. 1101(a)(15)) if, in consultation with 2 the Attorney General, the Secretary finds that— 3 (1) the visa holder has misrepresented his or her intention to pursue a certain program or field of 4 5 study; 6 (2) following a change to the nonimmigrant's 7 primary field of study as described under section 8 101, that the new primary field of study would have 9 triggered a higher level of scrutiny during the visa 10 application process, and that the visa holder poses a 11 risk to the homeland security of the United States, 12 the national security of the United States, or re-13 search integrity at their applicable program sponsor 14 or institution; or 15 (3) the visa holder's enrollment in a research 16 program funded in whole or in part through a grant, 17 contract, or other similar form of support provided 18 by the Federal Government poses a risk to the 19 homeland security of the United States, the national 20 security of the United States, or research integrity 21 at their applicable program sponsor or institution. 22 (b) Notice.—Thirty days before the commencement 23 of a review under subsection (a), the Secretary of Homeland Security shall provide the applicable program sponsor or institution with a notice containing the specific basis

1	of the forthcoming review. During this 30-day period, the
2	program sponsor or institution may take corrective action
3	to alleviate any concerns raised by the Secretary. At the
4	conclusion of the 30-day period, the Secretary shall deter-
5	mine whether the program sponsor or institution has satis-
6	factorily addressed the concerns or a review remains nec-
7	essary.
8	(c) Administrative and Judicial Review.—
9	(1) In general.—There shall be no adminis-
10	trative or judicial review of a determination to re-
11	voke a visa under this section except in accordance
12	with this subsection.
13	(2) Administrative review.—
14	(A) SINGLE LEVEL OF ADMINISTRATIVE
15	APPELLATE REVIEW.—The Secretary of Home-
16	land Security shall establish an appellate au-
17	thority to provide for a single level of adminis-
18	trative appellate review of such a determination.
19	(B) STANDARD FOR REVIEW.—Such ad-
20	ministrative appellate review shall be based
21	solely upon the administrative record estab-
22	lished at the time of the determination and
23	upon such additional or newly discovered evi-
24	dence as may not have been available at the
25	time of the determination.

1	(3) Judicial review.—
2	(A) Limitation to review of re-
3	MOVAL.—There shall be judicial review of a de-
4	termination to revoke a visa under this section
5	only in the judicial review of an order of re-
6	moval under section 242 of the Immigration
7	and Nationality Act (8 U.S.C. 1252).
8	(B) STANDARD FOR JUDICIAL REVIEW.—
9	Such judicial review shall be based solely upon
10	the administrative record established at the
11	time of the review by the appellate authority
12	and the findings of fact and determinations
13	contained in such record shall be conclusive un-
14	less the applicant can establish abuse of discre-
15	tion or that the findings are directly contrary to
16	clear and convincing facts contained in the
17	record considered as a whole.
18	SEC. 104. ANNUAL REPORT.
19	(a) In General.—The Secretary of Homeland Secu-
20	rity shall require the Academic Institutions Subcommittee
21	of the Homeland Security Advisory Council of the Depart-
22	ment of Homeland Security to provide an annual report
23	to the Committee on the Judiciary, the Committee on
24	Homeland Security, and the Committee on Foreign Af-
25	fairs of the House of Representatives, and the Committee

1	on the Judiciary, the Committee on Homeland Security
2	and Governmental Affairs, and the Committee on Foreign
3	Relations of the Senate, on—
4	(1) the implementation and execution of any
5	visa reviews and revocations undertaken under sec-
6	tion 103;
7	(2) the number of alien students enrolled at
8	academic or nonacademic institutions in the United
9	States, disaggregated by—
10	(A) program of study;
11	(B) previous and current nationality; and
12	(C) participation in a research program
13	(which may or may not be classified) funded in
14	whole or in part through a grant, contract, or
15	other similar form of support provided by the
16	Federal Government, differentiated by agency,
17	sub-agency, and program; and
18	(3) the number of alien students who have
19	changed their field of study, including their original
20	and subsequent field of study, disaggregated by the
21	information described in subparagraphs (A), (B),
22	and (C) of paragraph (2).
23	(b) Appendix.—Each report under subsection (a)
24	shall include an appendix containing any feedback pro-
25	vided on a voluntary basis by any program sponsor or in-

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

- 1 stitution affected by a visa review or revocation under-
- 2 taken under section 103.

## 3 TITLE II—FEDERAL RESEARCH

### 4 AND DEVELOPMENT AWARDS

- 5 SEC. 101. FINDINGS.
- 6 Congress finds the following:
  - (1) United States colleges and universities are required publicly to report foreign gifts and contracts to the Department of Education. Codified at section 117 of the Higher Education Act of 1965 (20 U.S.C. 1011f), this mandate requires nearly all colleges and universities to report, twice each year, foreign gifts and contracts the value of which is \$250,000 or more (considered alone or in combination with other gifts or contracts with a foreign source) and to disclose any foreign ownership or control to the Secretary of Education.
    - (2) Congress applauds the Department of Education for taking concrete steps to enforce section 117, including the opening of 12 compliance investigations yielding important and actionable information and catalyzed disclosure of \$6,500,000,000 in previously unreported foreign money.

1	(3) On average, fewer than 300 of the approxi-
2	mately 6,000 United States colleges and universities
3	report receiving foreign money each year.
4	(4) It is in the interest of scientific and aca-
5	demic integrity that foreign funding is disclosed by
6	the recipient.
7	(5) Institutions have an obligation to comply
8	with all applicable laws and regulations requiring
9	disclosure of foreign funding.
10	SEC. 102. DISCLOSURE OF FUNDING SOURCES IN APPLICA-
11	TIONS FOR FEDERAL RESEARCH AND DEVEL-
10	OPMENT AWARDS.
12	OFMENT AWARDS.
12 13	Section 223 of the William M. (Mac) Thornberry Na-
13	Section 223 of the William M. (Mac) Thornberry Na-
13 14	Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021
13 14 15	Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021 (Public Law 116–283) is amended—
13 14 15 16	Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021 (Public Law 116–283) is amended—  (1) in subsection (a)(1)—
13 14 15 16 17	Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021 (Public Law 116–283) is amended—  (1) in subsection (a)(1)—  (A) in subparagraph (A), by inserting be-
13 14 15 16 17	Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021 (Public Law 116–283) is amended—  (1) in subsection (a)(1)—  (A) in subparagraph (A), by inserting before the semicolon the following: ", including a
13 14 15 16 17 18	Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021 (Public Law 116–283) is amended—  (1) in subsection (a)(1)—  (A) in subparagraph (A), by inserting before the semicolon the following: ", including a description of any in-kind contributions and a
13 14 15 16 17 18 19 20	Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021 (Public Law 116–283) is amended—  (1) in subsection (a)(1)—  (A) in subparagraph (A), by inserting before the semicolon the following: ", including a description of any in-kind contributions and a reasonable estimate of the value of such con-
13 14 15 16 17 18 19 20 21	Section 223 of the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021 (Public Law 116–283) is amended—  (1) in subsection (a)(1)—  (A) in subparagraph (A), by inserting before the semicolon the following: ", including a description of any in-kind contributions and a reasonable estimate of the value of such contributions in dollars or man-hours, as applica-

1	(C) by adding at the end the following new
2	subparagraph:
3	"(D) in the case of a covered individual
4	who is employed by an institution subject to
5	section 117 of the Higher Education Act of
6	1965 (20 U.S.C 1011f), certify that the indi-
7	vidual has provided the institution with the in-
8	formation necessary to comply with such section
9	and include, together with such certification, a
10	statement acknowledging receipt of such infor-
11	mation signed by the head of the office respon-
12	sible for the institution's compliance with such
13	section; and"; and
14	(2) in subsection (d)(2)(B), by striking "in-kind
15	contributions requiring a commitment of time and
16	directly supporting the individual's research and de-
17	velopment efforts, such as the provision of office or
18	laboratory space, equipment, supplies, employees, or
19	students" and inserting "all in-kind contributions,
20	regardless of whether such contributions are in-
21	tended for use on the project for which the research
22	and development award is sought".